

## ALN Program Announcement – ABA-CLE Satellite Seminar

### Securities Arbitration Presented by the Practising Law Institute® Live via Satellite

**Thursday, December 11, 2003**  
**11:00a.m. to 6:00 p.m. Eastern Time**

**Location:**

Litigation Support Services  
817 Main Street, Suite 400  
Cincinnati, OH 45202  
Phone: (513) 241-5605 Fax: (513) 361-8646

#### **SCOPE AND PURPOSE**

With recent stock market losses in the billions of dollars, there has been a 40% increase in securities arbitration filings. At this special six-hour telecast, viewers will learn the basics of securities arbitration and how to represent the investor and the broker. An outstanding faculty of practitioners, experts, and arbitrators will review the entire process, sharing their insights and experience on how to effectively represent clients in arbitration.

Featured speakers include the chief administrators at the NASD and the New York Stock Exchange, who will explain how to file an arbitration claim and how these claims are administered.

Topics to be covered include:

- Evaluating the claim before accepting the client
- How to file a Statement of Claim
- Preparing for and conducting the arbitration
- Preparing for a negotiated resolution
- Representing a brokerage firm or broker
- Use of experts
- Calculating damages
- Recovery of fees and expenses
- Punitive damages
- Appeal possibilities

#### **PROGRAM CHAIR**

**Roger M. Deitz, Esq.**, Arbitrator & Mediator, *Dispute Resolution*, New York, NY

#### **FACULTY**

*Additional Faculty To Be Announced*

**Tuition:** Standard - **\$299.00** (includes study materials)

**To obtain further information about this program, contact:**

Kirk A. McCracken  
Litigation Support Services  
817 Main Street, Suite 400  
Cincinnati, OH 45202-2153  
(513) 241-5605

**To Register for this program, please complete the enclosed registration and fax to Litigation Support Services at 513-361-8646. Mail original registration form along with fees to:**

**Litigation Support Services, 817 Main Street, Suite 400, Cincinnati, OH 45202.**

**Seminar space is limited. Reservations faxed to Litigation Support Services do not guarantee a seat. Reservations will be guaranteed on a first paid basis.**

# **SATELLITE CLE REGISTRATION FORM**

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Please Register me for the following Practising Law Institute Program:  
**Securities Arbitration**  
**(Thursday, December 11, 2003 from 11:00a.m. to 6:00 p.m. Eastern Time)**

**SEMINAR TUITION**

Standard - **\$299.00** (includes study materials)

Name: \_\_\_\_\_

Firm: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone: (\_\_\_\_) \_\_\_\_\_ Fax: (\_\_\_\_) \_\_\_\_\_

Signature: \_\_\_\_\_

If you would like to be added to our email information list for future seminar notification please print your email address:

Email: \_\_\_\_\_

**Payment Terms:**

Payment by check must accompany this registration form.

IF YOU HAVE ADDITIONAL ATTENDEES, PLEASE MAKE COPIES OF THIS REGISTRATION FORM AND FILL OUT ONE FOR EACH ATTENDEE. FORMS MAY BE SENT TOGETHER IN ONE PACKET AND MULTIPLE FORMS MAY BE PAID FOR WITH ONE CHECK.